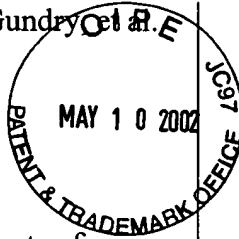


IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Kenneth James Gundry et al.
Serial No.: 09/602,585
Filing Date: June 21, 2000
Title: Method and apparatus for
deriving at least one audio
signal from two or more input
audio signals



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May 7, 2002
San Francisco, California

Commissioner of Patents
Washington, DC 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to the duty of disclosure set forth in 37 CFR § 1.56, Applicants respectfully submit the following information disclosure statement.

The disclosed references are:

1. US 4,799,260, issued January 17, 1989, Mandell, et al., filed February 26, 1986.
2. US 5,172,415, issued December 15, 1992, Fosgate, filed June 8, 1990.
3. US 5,428,687, issued June 27, 1995, Willcocks et al., filed December 14, 1992.
4. US 5,504,819, issued April 2, 1996, Fosgate, filed July 18, 1994.
5. US 5,625,696, issued April 29, 1997, Fosgate, filed April 2, 1996.
6. US 5,644,640, issued July 1, 1997, Fosgate, filed March 27, 1996.
7. US 4,589,129, issued May 13, 1986, Blackmer et al., filed February 21, 1984.

8. US 5,862,228, issued January 19, 1999, Davis, filed February 21, 1997.
9. US 5,295,189, issued March 15, 1994, Fosgate, filed December 1, 1992.
10. European Patent Application 0 949 845 A2, European Patent Office; published 10/13/1999.
11. Japanese Patent Application No. 08222581; Japanese Patent Office; published 03/06/98.
12. Texas Instruments Incorporated, TL07_ Series Low-Noise JFET-Input Operational Amplifiers data sheet, 1996, Dallas, Texas.
13. Analog Devices, Inc., Dynamic Range Processors/Dual VCA SSM2120/SSM2122 data sheet, 1995, Norwood, Massachusetts.
14. Gundry, Kenneth, "A New Active Matrix Decoder for Surround Sound", AES 19th International Conference, June 21, 2001, Schloss Elmau, Germany.

Applicants also disclose as references the following related patent applications:

15. U.S. Patent Application Serial No. 09/454,810, Fosgate, filed December 3, 1999.
16. U.S. Patent Application Serial No. 09/532,711, Fosgate, filed March 22, 2000.
17. U.S. Patent Application Serial No. 60/229,712, Fosgate et al., filed August 31, 2000.

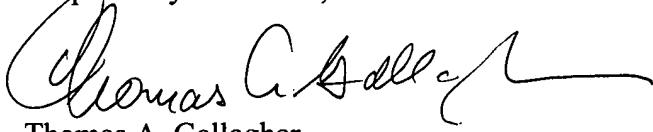
The filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made (37 CFR 1.97(g)), admission that the information cited is, or is considered to be material to patentability, or that no other material information exists.

The filing of this Information Disclosure Statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

A copy of each reference is enclosed herewith for the convenience of the Examiner.

Applicants respectfully request that the Examiner initial the cited references shown on the enclosed form PTO-1449 and that the references be made of record in the present application.

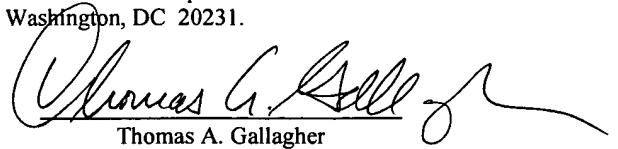
Respectfully submitted,



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Certificate of Mailing Under 37 CFR 1.8

I certify that this Information Disclosure Statement and any enclosed materials are being deposited with the United States Postal Service on May 7, 2002 with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, Washington, DC 20231.


Thomas A. Gallagher

Enc. Transmittal Form
PTO-1449
Copies of Cited References



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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	09/602,585
Filing Date	06/21/2000
First Named Inventor	Kenneth James Gundry
Group Art Unit	2747
Examiner Name	
Attorney Docket Number	DOL07202

Total Number of Pages in This Submission

ENCLOSURES (check all that apply)

- ☐ Fee Transmittal Form
- ☐ Fee Attached
- ☐ Amendment / Reply
- ☐ After Final
- ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☒ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/ Incomplete Application
- ☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

- ☐ Assignment Papers (for an Application)
- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition to Convert to a Provisional Application
- ☐ Power of Attorney, Revocation Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Request for Refund
- ☐ CD, Number of CD(s) _____

- ☐ After Allowance Communication to Group
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Other Enclosure(s) (please identify below):
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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

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or
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Thomas A. Gallagher, Reg. No. 24,815

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05/07/2002

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Form PTO-1449

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09/602,585

INFORMATION DISCLOSURE STATEMENT

APPLICANT
Kenneth James Gundry, et al.FILING DATE
June 21, 2000

GROUP

U.S. PATENT DOCUMENTS

*Exam. Initial	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE
	4 7 9 9 2 6 0	01/17/89	Mandell, et al.	381	22	02/26/86
	5 1 7 2 4 1 5	12/15/92	Fosgate	381	22	06/08/90
	5 4 2 8 6 8 7	06/27/95	Willcocks et al.	381	18	12/14/92
	5 5 0 4 8 1 9	04/02/96	Fosgate	381	18	07/18/94
	5 6 2 5 6 9 6	04/29/97	Fosgate	381	18	04/02/96
	5 6 4 4 6 4 0	07/01/97	Fosgate	381	18	03/27/96
	4 5 8 9 1 2 9	05/13/86	Blackmer et al.	381	21	02/21/84
	5 8 6 2 2 2 8	01/19/99	Davis	381	17	02/21/97
	5 2 9 5 1 8 9	03/15/94	Fosgate	381	22	12/01/92
0	9 4 5 4 8 1 0		Fosgate			12/03/99
0	9 5 3 2 7 1 1		Fosgate			03/22/00
6	0 2 2 9 7 1 2		Fosgate et al.			08/31/00

FOREIGN PATENT DOCUMENTS

*Exam. Initial	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION YES NO
	0 9 4 9 8 4 5	10/13/99	European Patent Office			
0	8 2 2 2 5 8 1	03/06/98	Japanese Patent Office			

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

		Texas Instruments Incorporated, TL07_ Series Low-Noise JFET-Input Operational Amplifiers data sheet, 1996, Dallas, Texas
		Analog Devices, Inc., Dynamic Range Processors/Dual VCA SSM2120/SSM2122 data sheet, 1995, Norwood, Massachusetts
		Gundry, Kenneth, "A New Active Matrix Decoder for Surround Sound", AES 19th International Conference on Surround Sound, June 21, 2001, Schloss Elmau, Germany

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP; draw line through citation if not in conformance and not considered. Include copy of this form with next communication with applicant.